Research to Action: Creating Environments That Build the Foundation for Executive Function Life Skills Sherri L Alderman, MD, MPH, IMH-E Mentor Clinical & Policy, FAAP

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1

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- Neither I nor my immediate family have any financial interests to disclose.
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2

Infant Mental Health **Endoresment Core** Competencies

- Pregnancy and Early Parenthood
 Infant/Very Young Child Development and Behavior



OCCD Registry Core Knowledge Categories

- Human Growth & Development
 Understanding & Guiding Behavior



Goals & Objectives 1. Describe evidence-based infant

- behaviors associated with maternal environment and its impact on fetal developing brains
- 2. Recognize evidence of developing executive function in infants and toddlers
- 3. Name one approach to nurture theinnate capacity for empathy and perspective-taking in infants and toddlers



5

Agenda 1. Review of Executive Function Life Skills 2. Executive Functions: The Deep Dive 3. Pulling It All Together



1. Review of Executive Function Life Skills

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7

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Human development is shaped by a dynamic and continuous interaction between biology and experience

Bruce Perry

8

Review of Executive Function

- $\succ \ \mathsf{Top\text{-}down} \ \mathsf{control} \ \mathsf{of} \ \mathsf{goal\text{-}oriented} \ \mathsf{behaviors}$
- Linked to math, arithmetic, reading, verbal & nonverbal reasoning, communication, social skills, and moral competence
- Fundamental to executive function capacity is selfregulation

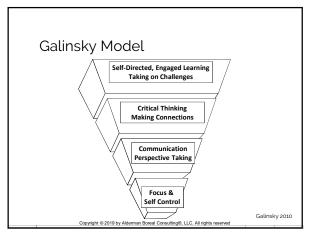
McClelland & Tominey 2014 Copyright © 2019 by Alderman Boreal Consulting®, LLC. All rights reserved

Review of Executive Function

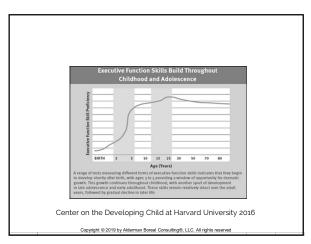
- > A set of higher functioning mental skills coordinated in the brain's frontal lobe that work together to plan and achieve
- Regulation, Focus, Attention
 Perspective-taking, Empathy
 Impulse control

McClelland & Tominey 2014

10

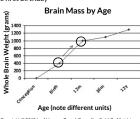


11



Neuroscience of Brain Development

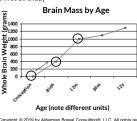
- At birth, the infant's brain is the most undifferentiated organ in the body
 A newborn baby's brain will more than double in mass before the first birthday



13

Neuroscience of Brain Development

- At birth, the infant's brain is the most undifferentiated organ in the body
 A newborn baby's brain will more than double in mass before the first birthday



14

Executive Functions: The Deep Dive

Regulation, Focus, Attention
The Pen-Tapping Game
16

The Neuroscience Behind

What Sleeping Babies Hear

> Interparental conflict correlates with heightened brain activity in sleeping babies exposed to very angry tone of

Behavior—Revisited

- > Rostral anterior cingulate cortex
- > Subcortical regions (caudate, thalamus and hypothalamus)
- > Rostral ACC implicated in emotional processing & regulation

Graham 2013

17

The Neuroscience Behind Behavior—Revisited

How Newborns React to Stress
➤ Higher cortisol reaction to heel stick

Davis et al. 2011

Fetal Programming

- > The result of the influences of environment on the developing brain's architecture during gestation that creates persistent consequences of health and disease risk
- $\,\succ\,$ Prepares the developing fetus for the environment they are going to be born in
 - Survival
 - Increased maternal stress→ high vigilant hypersensitive infant

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19

Fetal Programming

The placenta

An enzyme in the placenta breaks down maternal cortisol before it reaches the fetus

cortisol $\frac{11\beta$ -hydroxysteroid dehydrogenase Cortisone (inactive) (11β-HSD2)

- Changes filtering capacity in response to maternal chemical signals
- Plays a role in regulating pregnancy
 - Maturing fetus
 - Determining timing of delivery

Davis & Thompson 2014 Glover et al. 2014

20

Fetal Programming

Stress during pregnancy

- > Negative emotional reactivity (at 6 months)
- ➤ Higher pre-stress cortisol levels (14-19 months)
- > Cognitive delay (14-19 months)
- ➤ Increased fearfulness to new situations (14-19 months)
- ➤ Higher internalizing symptoms (5 years)

What Can We Do? To promote regulation, focus, and attention in infants and toddlers?	
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22	
Mirror Neurons to Morality	
> Mirror neurons	
> Sense of self and self-efficacy	
➤ Empathy ↓ ➤ Moral values	
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23	
	_
Mirror Neurons	
Human connections at a neurological level is the	
process of co-regulation ➤ Co-regulation is the process for learning self-regulation	

		_	
	Mirror Neurons		
	 Motor cortex & posterior parietal cortex are activated 		
	not only during the action execution but ALSO when observing the action		
	> Allow for shared goals and		
	intentions		
		'	
L	Ammaniti & Ferrari 2013 Copyright © 2019 by Alderman Boreal Consulting®, LLC, All rights reserved] .	
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Γ		1	
	Mirror Neurons		
	Mirror Neurons		
	➤ Engagement with others is		
	essential for development of regulation, attention, perspective-taking and other		
	executive function skills		
	Ammaniti & Ferrari 2013 Copyright © 2019 by Alderman Boreal Consulting®, LLC. All rights reserved		
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	Perspective-Taking		
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Development of Self & Self-Efficacy

- > Other's behaviors, emotions, and sensations are mapped into our internal motor representation
- At about 6 months of age, babies begin development of an internal working model
- Sense of 'self' distinctively separate from and connected to 'other'

28

Development of Self & Self-Efficacy

- Understanding the impact of one's own behavior on others
- ➤ Self-efficacy
- > Self-esteem

29

Empathy

Understanding feelings of others different from one's own feelings

Moral Values

A system of values or principles of conduct that influence behavior based on perceptions of right from wrong or good from bad

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31

Mirror Neurons to Morality

- > Mirror neurons
- ➤ Sense of self and self-efficacy
- ➤ Empathy
- ➤ Moral values

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32

What Can We Do?

- > To promote empathy and perspective-taking in infants and toddlers?
- ➤ Small group discussion

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4. Pulling It All Together

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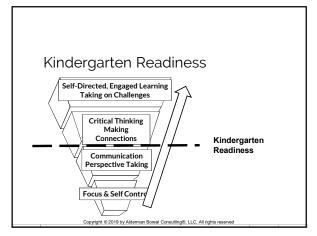
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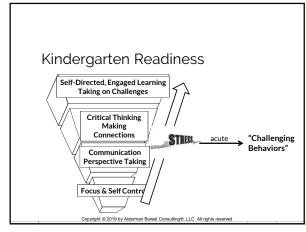
Factors for Building Resilience

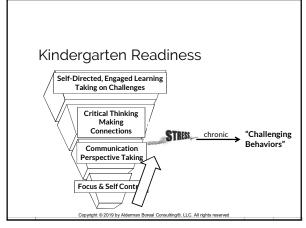
- > Stable, caring and supportive relationships
- A sense of mastery over one's own life
- Strong executive function and selfregulation skills
- > Affirmed faith and cultural traditions

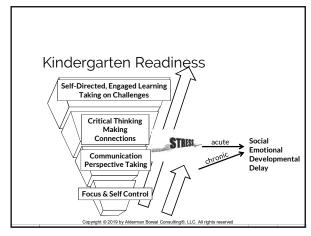
National Scientific Council on the Developing Child 2015

35









5. Stategies for Promoting Executive Function Life Skills

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40

Motor Activities Promote Executive Function Life Skills

Efficacy of a self-regulation intervention

- ➤ RC
- > 65 preschool children, average age 54.6 months
- > Half received intervention
- ➤ Intervention: circle time games
- \succ 16 30-minute sessions (2/wk X 8 wks)

Tominey & McClelland 2011

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41

Motor Activities Promote Executive Function Life Skills

Results:

Children entering preschool with low level behavioral self-regulation skills

> showed improved self-regulation skills after the intervention

AND

 significant gains in letter-word identification compared to control group.

Tominey & McClelland 2011

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Summary 1. Executive function life skills begin

- developing before birth
- 2. Environment plays a critical role in developing executive function life skills
- 3. Emotional regulation is the foundation for all other executive function life skills
- 4. Executive function life skills are learned through relationship with a regulated adult

 5. Executive function life skills build
- resilience



43

Thank you!

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44

References

Provided upon request